



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT EXAMINING OPERATION

Application No.: 10/713438

Group Art Unit:

Filing Date: 11/14/2003

Attorney Docket No.: KN P 0131

First Named Inventor: Evans, Douglas G.

Examiner:

Title: **DEVICES AND METHODS FOR TREATING DEFECTS IN THE
TISSUE OF A LIVING BEING**

Information Disclosure Statement Certificate of Mailing Under 37 CFR 1.8

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on March 12, 2004

Information Disclosure

Statement Under 37 CFR 3.73(b)

Transmittal Form

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Trina Werkema

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/713438	
	Filing Date	11/14/2003	
	First Named Inventor	Evans, Douglas G.	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	9	Attorney Docket Number	KN P 0131

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Cert. of Mailing; Postcard; 37
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	CFR 3.73(b) Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Jeffrey C. Kelly Kensey Nash Corporation	
Signature		
Date	3/11/04	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Trina Werkema	
Signature		Date 3-12-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Kensley Nash CorporationApplication No./Patent No.: 10/713438 Filed/Issue Date: 11/14/03Entitled: DEVICES AND METHODS FOR TREATING DEFECTS IN THE TISSUE OF A LIVING BEINGKensley Nash Corporation, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014358, Frame 0042, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
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3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

3/11/04
Date
610-594-4392
Telephone number

Jeffrey C. Kelly
Typed or printed name
[Signature]
Signature
Director of Legal Affairs
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT EXAMINING OPERATION

Application No.: **10/713,438**

Group Art Unit:

Filing Date: **11/14/2003**

Attorney Docket No.: **KN P 0131**

First Named Inventor: **Evans, Douglas G.**

Examiner:

Title: **DEVICES AND METHODS FOR TREATING DEFECTS IN THE
TISSUE OF A LIVING BEING**

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56, applicants hereby call the attention of the U.S. Patent and Trademark Office to the references listed on the attached Form PTO-1449. Applicants respectfully submit that each of these references was supplied to the Office, or cited by the Office during prosecution of U.S. Patent Application Serial No. 10/171248. Applicants furthermore respectfully submit that the present application is a Continuing application of this U.S. Patent Application, and furthermore that it relies upon this parent patent application for an earlier effective filing date under 35 U.S.C. §120. Applicants still further respectfully submit that Application 10/171248 contained an Information Disclosure Statement in compliance with 37 C.F.R. §1.98 (a) through (c). Accordingly, applicants are not submitting new copies of these references; however, applicants will gladly provide a copy of any reference that the Office is unable to locate in its files.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a CPA under 37 CFR §1.53(d), within three months of the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 CFR §1.114. No certification fee is required.

The undersigned respectfully submits that the assignee Kensey Nash Corporation is an assignee of record as evidenced by the attached Statement Under 37 CFR 3.73(b), and therefore this assignee has established the right to take action in the prosecution of the above-identified patent application.

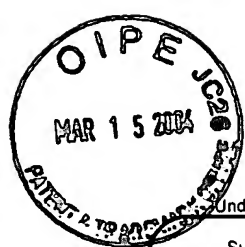
Respectfully submitted,

Date: 3/11/04

By:



Jeffrey C. Kelly
Kensey Nash Corporation
55 East Uwchlan Avenue
Exton, PA 19341
(601) 594-4392
Director of Legal Affairs



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/713438
Filing Date	11/14/2003
First Named Inventor	Evans, Douglas G.
Art Unit	
Examiner Name	
Attorney Docket Number	KN P 0131

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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5310407	05/10/1994	Casale	
		US- 4890612	01/02/1990	Kensey	
		US- 5425769	06/20/1995	Piez et al	
		US- 5425770	06/20/1995	Piez et al	
		US- 5904718	05/18/1999	Jefferies	
		US- 4642117	02/10/1987	Nguyen et al	
		US- 5997896	12/07/1999	Carr, Jr. et al	
		US- 4563350	01/07/1986	Nathan et al	
		US- 4888366	12/19/1989	Chu et al	
		US- 4776890	10/11/1988	Chu	
		US- 4795467	01/03/1989	Piez et al	
		US- 5035715	07/30/1991	Smestad et al	
		US- 5110604	05/05/1992	Chu et al	
		US- 4948540	08/14/1990	Nigam	
		US- 6110484	08/29/2000	Sierra	
		US- 4614794	09/30/1986	Easton et al	
		US- 5972385	10/26/1999	Liu et al	
		US- 4394370	07/19/1983	Jefferies	
		US- 4430760	02/14/1984	Semstad	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 0530804	03/10/1993	Shaw		
		WO 95 33421	12/14/1995	Univ. Case West		
		WO 97 14376	04/24/1997	Orquest		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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Sheet 2

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		Number-Kind Code ² (if known)			
		US- 4440750	04/03/1984	Glowacki et al	
		US- 4485097	11/27/1984	Bell	
		US- 4623553	11/18/1986	Ries et al.	
		US- 4865602	09/12/1989	Smestad et al	
		US- 5071436	12/10/1991	Huc et al	
		US- 5320844	06/14/1994	Liu	
		US- 5711957	01/27/1998	Pata et al	
		US- 5904718	05/18/1999	Jefferies	
		US- 3887699	06/03/1975	Yolles	
		US- 4637905	01/20/1987	Gardner	
		US- 4652441	03/24/1987	Okada et al	
		US- 5718921	02/17/1998	Mathiowitz et al	
		US- 5912017	06/15/1999	Mathiowitz et al	
		US- 5288502	02/22/1994	McGinity et al	
		US- 5683459	11/04/1997	Brekke	
		US- 4186448	02/05/1980	Brekke	
		US- 5624463	04/29/1997	Stone et al	
		US- 6294187	09/25/2001	Boyce et al	
		US- 6296667	10/02/2001	Johnson et al	

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		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				

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Attorney Docket Number	KN P 0131

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of	4
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KN P 0131

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Name	
Attorney Docket Number	KN P 0131

Sheet

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ROBINSON et al., "The Biocompatibility of Compressed Collagen Foam Plugs," Cardiovasc Intervent Radiol, 1990, 13:36-39.	
		Armstrong et al., "Punch Biopsy Wounds Treated with Monsel's Solution or a Collagen Matrix," Arch Dermatol, 1986, 122:546-549.	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BRIAN M. OTT et al

Serial No. 10/772,487

Atty's File 5-896

Filed February 5, 2004

For: DUAL ACTUATOR
OPERATED ROTARY LATCHDavid A. Burge Co., L.P.A.
2901 South Park Boulevard
Cleveland, OH 44120-1842
USPTO CUSTOMER NUMBER 707Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is being filed in compliance with guidelines set forth in 37 CFR 1.98(a) for satisfying the duty of Applicants and counsel to disclose to the Office information known thereto that is material to the examination of the application.

Inasmuch as this paper is being filed within three months of the filing date of the above-identified application, as is called for in 37 CFR 1.97(b), it is respectfully requested that due consideration be given to the art that is cited herein, and that such art be made "of record" during the prosecution of this application.
